

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

1 Application Serial No. ....09/714,315  
2 Filing Date ..... March 25, 2004  
3 Inventorship ..... Greener et al.  
4 Applicant ..... Goldman, Sachs and Co.  
5 Group Art Unit ..... 3624  
6 Examiner ..... Kelly Scaggs Campen  
7 Attorney's Docket No. .... GS1-0006US  
8 Title: Automated Online Sales Risk Management System  
9

10 **RESPONSE TO FINAL OFFICE ACTION DATED MARCH 27, 2006 AS**  
11 **PRELIMINARY AMENDMENT FOR AN RCE**  
12

13 To: Commissioner of Patents and Trademarks  
14 P.O. Box 1450  
15 Alexandria, Virginia 22313-1450  
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17 From: Mark Farrell (Tel. 509-324-9256 ext 243; Fax 509-323-8979)  
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**INTRODUCTORY COMMENTS**

Applicant respectfully requests entry of the following amendment and remarks contained herein in response to the Office Action mailed March 27, 2006 as a Preliminary Amendment for an RCE. Applicant respectfully submits that the amendment and remarks contained herein place the instant application in condition for allowance.

**A Listing of the Claims** begins on page 3 of this document.

**Remarks** begin on page 13 of this document.